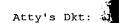
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### N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: LADNER et al.	)	Application Division
Serial No.: 09/893,878	;	Washington, D.C.
Filing Date: 29 June 2001	)	October 1, 2001
For: DIRECTED EVOLUTION OF	١	Confirmation No. 1764

#### RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

HON. COMMISSIONER OF PATENTS Washington, D.C. 20231

sir:

The present communication is in response to the "NOTICE TO FILE CORRECTED APPLICATION PAPERS" dated  $\underline{\text{August 01}_L\ 2001}$  .

[XX] Attached hereto are formal drawings.
[ ] Applicant claims small entity status. See 37 CFR 1.27.
[XX] Response to Sequence Listing Requirements.
[ ] An Information Disclosure Statement with 08A-1449 and references is also attached.
[ ] A Preliminary Amendment

Other documents:

It is hereby petitioned for an extension of time in accordance with 37 C.F.R.

1.136(a). The appropriate fee required by 37 C.F.R. 1.17 is calculated as shown below:

Small Entity Other Than Small Entity Response Filed Within Response Filed Within [ ] First - \$ 55.00 [ ] First ] Second - \$ 195.00 [ ] Second - \$ 390.00 ] Third - \$ 445.00 [ ] Third - \$ 890.00 ] Fourth - \$ 695.00 [ ] Fourth - \$1,390.00 Month After Time Period Set Month After Time Period Set

[XX] Conditional Petition for Extension of Time:

If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

[ ] Credit Card Payment Form, PTO-2038, authorizing payment the amount of s enclosed to cover the above fees.

By:

[XX] The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR 1.16 and all patent processing fees under 37 CFR 1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR 1.18.

BROWDY AND NEIMARK, L.L.C. Attorneys for Applicant (s)

(202) 628-5197

Lver P. Cooper

Registration No. 28,005

IPC:tw

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Confirmation No. 1764
LADNER et al )	Examiner:
Appln. No.: 09/893,878 )	Washington, D.C.
Filed: June 29, 2001	October 1, 2001
For: DIRECTED EVOLUTION OF ) NOVEL BINDING PROTEINS	Atty.Docket: LADNER=7M

# RESPONSE TO NOTICE TO COMPLY WITH SEQUENCE LISTING REQUIREMENTS

Honorable Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to Comply included in the Notice to File Corrected Application Papers, dated August 1, 2001, applicants state as follows:

This application is a continuation of 08/993,776, filed 18 December, 1997, which is a continuation of 08/415,922, filed 3 April 1995 (now USP 5,837,500) which is a continuation of 08/009,319, filed 26 January 1993 (USP 5,403,484), which is a division of 07/664,989, filed 1 March 1991 (now USP 5,223,409), which was a CIP of two earlier applications, now abandoned.

In response to the Notice to Comply with Sequence Listing Requirements, applicants hereby submit a paper copy Sequence Listing identical to the one filed and accepted in USP 5,403,484 (SN 08/009,319) on April 27, 1993 and request use of the CRF from USP 5,403,484. It is noted that the

In re Appln. No. 09/893,878

Sequence Listing requirement applies only to sequences added in the March 1, 1991 application, since the sequence rules became effective on October 1, 1990. Also, since the last CIP was in 1991, applicants are entitled to submit a sequence listing in accordance with rules in force in 1991.

It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the instant application.

Respectfully submitted,

BROWDY AND NEIMARK, P.L.L.C. Attorneys for Applicant(s)

Ву

IVER P. COOPER

Registration No. 28,005

Telephone No.: (202) 628-5197 Facsimile No.: (202) 737-3528 F:\,P\prou\Ladner7M\PTO\SequenceIdentical.doc

IPC:al





## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 2023

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

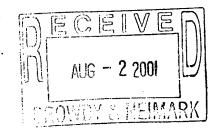
09/893,878

06/29/2001

Robert Charles Ladner

LADNER=7M

BROWDY AND NEIMARK, P.L.L.C. 624 Ninth Street, N.W. Washington, DC 20001



**CONFIRMATION NO. 1764** 

Date Mailed: 08/01/2001



### NOTICE TO FILE CORRECTED APPLICATION PAPERS

DOCKETED MSG=10C200 SEQ=10C200/

## Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - are not on the correct size paper (see 37 CFR 1.84(f)) The size of the sheets on which drawings are made must be either 21.0 cm. by 29.7 cm. (DIN size A4) or 21.6 cm. by 27.9 cm (8 ½ by 11 inches);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin S ftware Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov r patin3help@uspto.g v

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE